



Australian Academy of Trades Pty Ltd
 478 Logan Road, Greenslopes, QLD 4120
Tel: (61) 07 3397 4186 **Fax:** (61) 07 3397 4186
Website: www.aataus.com.au
RTO Code: 41174
CRICOS Code: 03486D
ABN: 91 602 704 521

STANDARD 8

COMPLAINTS AND APPEALS FORM

Complainants should read the Australian Academy of Trades Complaints and Appeals Policy before completing this form

Stage One (Academic) – Informal

The first step is **direct communication** between the complainant and respondent to allow both parties to discuss their point of view and attempt to resolve the problem. The complainant should communicate the matter to the relevant staff member within **5 working days** of becoming aware of the problem. In most cases resolution may be verbal and investigation into the complaint will commence within **48 hrs** of the complaint being made.

Stage Two (Academic) - Formal Complaints & Appeals Process

If, after undertaking Stage One, or in situations where Stage One is not possible, the complainant should **lodge their complaint in writing** (using the relevant form) to the Registrar (or nominee) within **5 working days** of the receipt of the stage one response . Within **48 hrs** Australian Academy of Trades of Technology will commence an investigation into the complaint. Within **5 working days of receipt of the appeal**, the Registrar (or nominee) will **provide in writing the outcome** of this step of negotiations to both Complainant and respondent.

Stage Three (Academic) - Appeals and Complaints Committee

Where the complainant is unsatisfied with the outcome of Stage Two and believes that:

- they did not have sufficient opportunity to present their case to the decision maker; or
 - the process was not carried out in accordance with Australian Academy of Trades policy or procedures;
- or
- the decision was made contrary to the evidence provided;

The complainant can lodge a written statement of their complaint with the Chief Executive Officer. This statement should be lodged within **5 working days** of receiving the written notification of the outcome of Stage Two negotiations

Stage Four - External Agencies

Where the complainant is unsatisfied with the outcome of the Stage Three and believes that at the Appeals and Complaints committee the process was not carried out in accordance with Australian Academy of Trades policy or procedures they may request that the matter to be referred to Australian Academy of Trades’s nominated independent appeals reviewer.

Independent Appeals Reviewer:

This independent agent will review the case, seeking input from all parties before making recommendations to Australian Academy of Trades within **15 working days**.

Note: If the complainant decides to proceed with Stage four, they should notify the College of this, so that time is allowed for this process.

STUDENT DETAILS

Given name: _____ Family name: _____

Student AAT ID #: _____ Date of birth: ___/___/___

COMPLAINT DETAILS (tick off the correct box)

- | | | |
|--|--|---|
| <input type="checkbox"/> Assessment Outcome | <input type="checkbox"/> Workplace Health & Safety | <input type="checkbox"/> Access & Equity |
| <input type="checkbox"/> Marketing & Advertising | <input type="checkbox"/> Record Management | <input type="checkbox"/> Training Resources |
| <input type="checkbox"/> Fees & Charges | <input type="checkbox"/> Student Refund | <input type="checkbox"/> Other |

Complainant comments:

Complainant Signature: _____ Date: ___/___/___



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AUSTRALIAN ACADEMY OF TRADES OUTCOME – Office Use Only

Date grievance was addressed: _____

Name of person addressing the complaint: _____

1st Stage: _____ Follow up date: ___/___/___

Result of investigation/ intervention:

Name of person addressing the complaint: _____

2nd Stage: _____ Follow up date: ___/___/___

Result of investigation/ intervention:

Name of person addressing the complaint: _____

3rd Stage: _____ Follow up date: ___/___/___

Result of investigation/ intervention:

Corrective Action Taken: Yes No

Reasons for final decision:

Registrar's signature: _____

Date: ___/___/___

Complainant's signature: _____

Date: ___/___/___

Improvement to policy or procedure required: Yes No

Details of improvement:

If student/staff is not happy with outcome, complaint to be referred to independent arbitrator for further assistance.

Independent mediator's name (Please print): _____

Date: ___/___/___